





FORM PTO-1449	SERIAL NO. 09/676,584	CASE NO. 10022/43
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE September 29, 2000	GROUP ART UNIT 3629
(use several sheets if necessary)		APPLICANT(S): John K. Kaltenmark, et al.

### REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE

### FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO

### OTHER ART - NON PATENT LITERATURE DOCUMENTS

EXAMINER INITIAL	<small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.</small>	
D.B.	A1	Engestrom, J., "Software Agents, Towards Transparent Mediation, A Technology Report for Salama Interactive," Jan. 1999, pp. 1-19.
D.B.	A2	Morris, K.C. and Flater, D., "Standards-based software testing in a Net-Centric World" pp. 1-8 (cited by Examiner, no date given)
D.B.	A3	Raje, R. and Chinnasamy, S., "Designing a distributed computing environment for global scale systems: challenges and issues," ACM SIGAPP Applied Computing Review, Vol. 7, Issue 1, Spring 1999, pages 25-30
D.B.	A4	Copy of co-pending patent application, U.S. Patent Application Serial No. 09/386,917, filed August 31, 1999; 340 pages, Inventor Michael K. Bowman-Amuah
D.B.	A5	Copy of co-pending patent application, U.S. Patent Application Serial No. 10/647,411, filed August 25, 2003; 22 pages, Inventor Michael K. Bowman-Amuah
D.B.	A6	Copy of co-pending patent application, U.S. Patent Application Serial No. 09/387,654, filed August 31, 1999; 818 pages, Inventor Michael K. Bowman-Amuah

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FORM PTO-1449	SERIAL NO. 09/676,584	CASE NO. 10022/043
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>	FILING DATE September 29, 2000	GROUP ART UNIT 3629
(use several sheets if necessary)		APPLICANT(S): John K. Kaltenmark et al.

**REFERENCE DESIGNATION U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
D.B.	A1	6,662,357 B1	12/09/2003	Bowman-Amuah	717/120	08/31/1999
	A2	6,640,249 B1	10/28/2003	Bowman-Amuah	709/228	08/31/1999
	A3	6,615,258 B1	09/02/2003	Barry et al.	709/223	09/24/1998
	A4	6,598,046 B1	07/22/2003	Goldberg et al.	707/5	09/29/1998
	A5	6,584,466 B1	06/24/2003	Serbini et al.	707/10	04/07/1999
	A6	6,529,948 B1	03/04/2003	Bowman-Amuah	709/217	08/31/1999
	A7	6,523,027 B1	02/18/2003	Underwood	707/4	07/30/1999
	A8	6,418,430 B1	07/09/2002	DeFazio et al.	707/3	01/10/1999
	A9	6,405,364 B1	06/11/2002	Bowman-Amuah	717/101	08/31/1999
	A10	6,401,097 B1	06/04/2002	McCotter et al.	707/102	01/22/1999
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	A27	5,781,732	07/14/1998	Adams	395/200.35	06/20/1996
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**FOREIGN PATENT DOCUMENTS**

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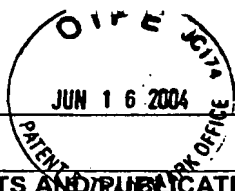
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EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
D.B.	A38	EP 0520923 A2	12/30/1992	Europe	G06F 15/21	
	A39	EP 0697655 A2	02/21/1996	Europe	G06F 9/46	
	A40	EP 0697691 A2	02/21/1996	Europe	G09G 5/14	
	A41	EP 0769739 A2	04/23/1997	Europe	G06F 9/44	
	A42	EP 0810520 A1	12/03/1997	Europe	G06F 9/44	
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	A44	GB 2315891 A	02/11/1998	United Kingdom	G06F 17/30	
	A45	WO 95/23373	08/31/1995	PCT	G06F 9/28	
	A46	WO 97/12311	04/03/1997	PCT	G06F	
	A47	WO 98/54660	12/03/1998	PCT	G06F 17/30	
	A48	WO 98/57260	12/17/1998	PCT	G06F 9/44	
	A49	WO 99/38079	07/29/1999	PCT	G06F 13/00	

EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS <small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.</small>	
D.B.	A50	Billy B.L. Lim, "Teaching web development technologies in CS/IS curricula," ACM, copyright 1998, pp. 107-111
	A51	Litoiu et al., "A performance engineering tool and method for distributed applications," ACM, pp. 1-14
	A52	Zoller et al., "A toolkit for an automatic, data dictionary based connection of databases to the WWW," ACM, copyright 1998, pp. 706-718
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	A54	Orfali, R., Harkey, D., Edwards, J., "Intergalactic Client/Server Computing," Byte, McGraw-Hill, Inc., St. Peterborough, U.S., Vol. 20, No. 4, 1 April 1995, pp. 108-110, 114, 11, XP000501823, ISSN: 0360-5280;
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	A57	Aoyama, M., Hanai, Y., Suzuki, M., "An Integrated Software Maintenance Environment: Bridging Configuration Management and Quality Management," Proceedings of the Conference on Software Maintenance, International Conference on Software Maintenance, Washington, US, IEEE Computer Society Press, 24 October 1988 pp. 40-44
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	A59	Tanenbaum, A., "Computer Networks - Third Edition," Prentice-Hall International, London, GB, 1996, pp. 28-39, XP002161723
	A60	Blakeley, J.A., "Universal Data Access with OLE DB," Proceedings of IEEE Compcon, U.S. Los Alamitos, IEEE Computer Society Press, 23 Feb. 1997, pp. 2-7

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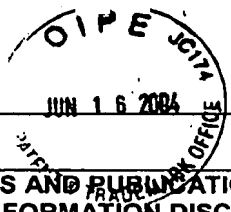


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D.B.	A61	Voth, G.R., Kindel, C., Fujioka, J., "Distributed Application Development for Three-Tier Architectures: Microsoft on Windows DNA," IEEE Internet Computing Vol. 2, No. 2, March/April 1998, USA
	A62	Lambert, N., "A New Patent Search Tool For The Internet. Q-PAT US." Database, US, Cincinnati, Vol: 19, No. 4, 1 August 1996
	A63	Abdel-Mottaleb, M., Hsiang-Lung, W., Dimitrova, N., "Aspects of Multimedia Retrieval," Philips Journal of Research, NL, Elsevier, Amsterdam, Vol. 50, No. 1, 1996, pp. 227-251
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	A65	Chamberlin, D.D., "Evolution of Object-Relational Database Technology in DB2," Compcon '97 Proceedings, IEEE, 1997, pp. 131-135
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	A67	"Data Joiner: A Multidatabase Server Version 1," White Paper, IBM Data Management Solutions, IBM, USA, second edition (May 1995)
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	A69	Pleas, K., "Ole's Missing Links," BYTE, McGraw-Hill Inc., St. Peterborough, US, Vol. 21, No. 4, 1 April 1996, pp. 99-102 X-000586036, ISSN 0360-5280
	A70	Burke, M.G., Choi, J.D., Fink, S., Grove, D., Hind, M., Sarkar, V., Serrano, M.J., Sreedhar, V.C., Srinivasan, H., "The Jalapeno Dynamic Optimizing Compiler for Java," Java '99, San Francisco, CA, US, ACM 12 June 1999, pp. 129-141 XP002134655
	A71	Cramer, T., Friedman, R., Miller, T., Seberger, D., Wilson, R., Wolczko, M., "Compiling Java Just in Time," IEEE Micro, IEEE Inc., New York, US, Vol. 17, No. 3, 1 May 1997, pp. 36-43 XP000656035, ISSN: 0272-1732
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	A73	Koved, L., Nadalin, A.J., Neal, D., Lawson, T., "The Evolution of Java Security," IBM, The Ultimate Resource for Java Developers, 1998, pp. 1-14, XP002144222
	A74	Touch, J., Hughes, A.S., "LSAM proxy cache: a multicast distributed virtual cache," Computer Networks and ISDN Systems, North Holland Publishing, Amsterdam, NL (3W3 Workshop, University of Manchester, 15-17th June, 1998), Vol. 30, No. 22-23, 25 Nov. 1998, pp. 22-23
	A75	Clip, P., "Servlets: CGI The Java Way," BYTE, McGraw-Hill, Inc., St. Peterborough, US, Vol. 23, No. 5, 1 May 1998, pp. 55-56
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	A77	Chamberlin, D.D., "Using the new DB2: IBM's object-relational database system," Morgan Kaufmann Publishers, USA, 1996, ISBN 1-55860-373-5, ppl. 561-567
	A78	North, K., "Database Programming with OLE and ActiveX," DBMS, US, M&T Publ., Redwood City, CA, US, 11-1996, pp. 1-8
↓	A79	Joshi, S.M., Veeramani, D., "Book Review. Increasing the Interoperability of CAD Packages. CAD and Office Integration: OLE for Design and Modeling – A New Technology for CA-Software," Computer Aided Design, Elsevier Publishers BV, Barking, GB, Vol. 29, No. 12, 12/01/1997, pp. 907

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D.B.	A80	Schmoll, J. "Wird OLE for Process Control (OPC) Ein Neuer Industriestandard?", Automatisierungstechnische Praxis, ATP, Oldenbourg Verlag, Munchen, DE, Vol. 39, No. 5, 05/01/1997, pp. 11-12, 14-17
D.B.	A81	Williams, S., Kindel, C., "The Component Object Model. The Foundation for OLE Services," Dr. Dobb's Special Report. Winter, 1994/95, Vol. 19, No. 16, 12/21/1994 pp. 14-22
D.B.	A82	Smith, D., Tilley, S.R., Weideman, N.H., "Transforming Legacy Application into Object-Oriented Applications Workshop, Will Net-Centric Computing Change the Reengineering Equation?" 1996, pp. 1-4
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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete If Known</b>	
				Application Number	09/676,584
				Filing Date	September 29, 2001
				First Named Inventor	John K. Kaltenmark et al.
				Group Art Unit	2161
				Examiner Name	Unknown
Sheet	1	of	2	Attorney Docket Number	10022/043

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FOREIGN PATENT DOCUMENTS								
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	FO
		Office <sup>2</sup>	Number <sup>4</sup>	Kind Code <sup>3</sup> (if known)				
	D.B.	WO	98/52121	A2	Electronic Data Systems Corp.	11/19/1998		
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	EP	0 829 808	A3	NEC Corporation	03/18/1998			
	EP	0 915 422	A1	Hewlett Packard Co.	05/12/1999			
	WO	92/20022	A1	Digital Equipment Corp.	11/12/1992			

Examiner Signature	/Djenane Bayard/	Date Considered	04/20/2007
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<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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<b>Application Number</b>	<b>09/676,584</b>
<b>Filing Date</b>	<b>September 29, 2000</b>
<b>First Named Inventor</b>	<b>John K. Kaltenmark et</b>
<b>Group Art Unit</b>	<b>2161</b>
<b>Examiner Name</b>	<b>Not Known</b>
<b>Attorney Docket Number</b>	<b>10022/043</b>

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